



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#61648
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Application of:

DUKE et al.

Serial No.: 09/991,363

Filed: November 15, 2001

Atty. File No.: 3923-3

For: "YEAST-DENDRITIC CELL
VACCINES AND USES THEREOF"

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INFORMATION DISCLOSURE
STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
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ADDRESSED TO THE ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231 ON
SHERIDAN ROSS P.C.

BY:

Angela Dallas

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby provide a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

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